

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
0112300-898In Re Application Of: **Baerlocher et al.**

DEC 30 2003

Serial No.  
09/933,843Filing Date  
August 20, 2001Examiner  
Binh-An D. NguyenGroup Art Unit  
3713

Title:

**GAMING DEVICE HAVING AWARD MODIFICATION OPTIONS FOR PLAYER SELECTABLE AWARD  
DIGITS**Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☒ the fee set forth in 37 CFR 1.17(p).RECEIVED  
JAN 13 2004  
TECHNOLOGY CENTER R3700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112300-898

In Re Application: Baerlocher et al.

DEC 30 2003

Serial No.  
09/933,843Filing Date  
August 20, 2001Examiner  
Binh-An D. NguyenGroup Art Unit  
3713**GAMING DEVICE HAVING AWARD MODIFICATION OPTIONS FOR PLAYER SELECTABLE AWARD DIGITS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: December 30, 2003

Robert W. Connors (Reg. No. 46,639)

BELL, BOYD &amp; LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690-1135

**RECEIVED**

JAN 13 2004

TECHNOLOGY CENTER R3700

CC:



#14/Suppl. Prior Art  
h. m. m. g. a. n  
1/15/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Baerlocher et al.  
Appl. No.: 09/933,843  
Conf. No.: 9181  
Filed: August 20, 2001  
Title: GAMING DEVICE HAVING AWARD MODIFICATION OPTIONS FOR  
PLAYER SELECTABLE AWARD DIGITS  
Art Unit: 3713  
Examiner: Binh An Duc Nguyen  
Docket No.: 112300-898

RECEIVED

JAN 13 2004

TECHNOLOGY CENTER R3700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**OTHER DOCUMENTS**

Jumble Advertisement written by WMS Gaming Inc., published prior to 2000.

Loaded Dice Advertisement written by Konami Gaming, published in 2000.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

Robert W. Connors

Reg. No. 46,639

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4214

01/08/2004 YPOLITE1 00000011 09933843

02 FC:1806

180.00 OP

Dated: December 30, 2003

